

ACCOUNT OPENING FORM ► INDIVIDUAL



Ver: 2.4 | August 2020

Branch	AP Code	BO ID	Trading Code	DP AMC Scheme

Equity Introducer	Commodity Introducer	Equity RM	Commodity RM	Form No.
				1234567890











INDEX OF DOCUMENTS

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MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES				
1.	KRA Form and Account Opening Form	KYC Form - Document captures information about the constituent	1 - 4	
2.	Tariff sheet	Document detailing the rate / amount of brokerage & other charges levied on the client for trading on stock exchange(s) & DP Service charges / Fee Structure	5	
3.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	SEPARATE COPY FOR CLIENT ALSO AVAILABLE ON www.tradebulls.in	
4.	Rights and Obligations	Document stating the Rights & Obligations of stock broker / trading member / Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet / wireless technology based trading) & of Beneficial Owners and Depository Participants		
5.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market		
6.	Guidance Note	Document detailing do's and don'ts for trading on exchange, for the education of the investors		
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER				
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5.	Voluntary Terms & Conditions	Additional terms & conditions specific to clients for the purpose of operational efficiency (separate copy for client also available on www.tradebulls.in)		

IMPORTANT NOTE

1. Signature Types:

 Signature of Client / First Holder	 Signature of Second Holder	 Signature of Third Holder	 Signature of Introducer
 Signature of Guardian	 Authorised Signatory	 Signature of First Witness	 Signature of Second Witness

2. In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

IMPORTANT INSTRUCTIONS

1. All details to be filled in Capital Block letters in Black / Blue Ink Only.
2. Email ID & Mobile number is mandatory for account related passwords and transaction details.
3. Corrections in the KYC form should be counter signed.
4. Strike off whichever option, in the account opening form, is not applicable.
5. All Originals to be produced for physical verification.
6. If any proof of identity or address is in a regional language, then translation into English is required.
7. Sole proprietor must make the application in his individual name & capacity.
8. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.

CHECKLIST FOR KYC (PLEASE TICK WHEREVER APPLICABLE)


CKYC Reference No.: 14 DIGITS KYC NUMBER


Valid Documents (Copies of all documents to be self attested)			Please Tick
PAN Card	Account Holder & Joint Holder's (If any)		
Photograph	One Colored Passport Size Photograph		
Additional Proof of Identity (Any One)	a. PAN Card	<input type="checkbox"/> KRA document used (Email Id & Phone number to be provided on given KRA Form)	
	b. Voter ID		
	c. Valid Passport		
	d. Valid Driving License		
	e. Unique Identification Number (UID) (Aadhaar)		
	f. Other (Pls. Specify) _____		
Proof of Address (Any One) Permanent / Correspondence	a. Voter ID	<input type="checkbox"/> KRA document used	
	b. Valid Passport		
	c. Valid Driving License		
	d. Unique Identification Number (UID) (Aadhaar)		
	e. Bank Statement/Passbook (not more than 3 months old must contain complete address of client)		
	f. Electricity Bill (not more than 3 months old)		
	g. Resident Landline Tel. Bill (not more than 3 months old)		
	h. Other (Pls. Specify) _____		
Bank Proof With MICR / IFSC Code (1st Holder only)	a. Bank Statement / Bank Passbook with cheque leaf (not more than 3 months old)		
	b. Banker's Certificate on letter head of the Bank (ORIGINAL)		
	c. Cancelled Personalized Cheque leaf		
Demat Proof (Any One) (1st Holder only)	a. Client Master (CML copy duly attested by DP)		
	b. DP Statement / Transaction cum Holding Statement (Duly attested by DP)		
Proof of Income (Any One) Mandatory for Derivatives Segments (1st Holder only)	a. Copy of latest ITR acknowledgment		
	b. In case of salary income - Salary Slip, Copy of Form 16		
	c. Net-worth certificate (Not more than 1 year old)		
	d. Copy of Demat Account Holding Statement (not more than 3 months old)		
	e. Bank Account Statement for last 6 months reflecting income		


FATCA / CRS Declaration / Self Certification For Individual:

Client Name				
		First Holder	Second Holder	Third Holder
1.	Are you Indian Resident Person? (Refer Risk Disclosure Document at www.tradebulls.in)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Specify country of residence for tax purpose (If above clause is no)			
3.	Specify Tax Identification No. / Others			

Note: If you are a U.S. person and / or if your tax residency / nationality / citizenship is other than India, then please provide declaration / self certification under FATCA / CRS. This declaration form can be downloaded from www.tradebulls.in or call at KYC Helpdesk at (079) 333 333 47 / 48 or write to kychelpdesk@tradebulls.in

 1/13
Signature of 1st Holder

 1/7
Signature of 2nd Holder

 1/7
Signature of 3rd Holder

KNOW YOUR CLIENT (KYC) | APPLICATION FORM (FOR INDIVIDUALS ONLY)

Application No: _____



Please fill in ENGLISH & in BLOCK LETTERS with black/blue ink & tick the appropriate options

A. IDENTITY DETAILS

1. Name of Applicant			
Father's/ Spouse Name			
2. Mother Name			
3. a. Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female	b. Date of birth	DD / MM / YYYY
c. Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married	4. Nationality	<input checked="" type="checkbox"/> Indian
5. Status	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Individual		
6. PAN		7. Aadhar	
8. Specify the proof of identity submitted :			

PHOTOGRAPH

Paste color passport size photo & sign across it



B. ADDRESS DETAILS

1. Correspondence Address			
City/Town/Village		Pin Code	
State		Country	
2. Contact/Mobile No		Tel (Res.)	
Tel (Off.)		Email id	
3. Specify the proof of address submitted for Correspondence Address:			
4. Permanent Address <small>(if different from above correspondence address)</small>	<input type="checkbox"/> Same as correspondence address		
City/Town/Village		Pin Code	
State		Country	
5. Occupation (Please tick)	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt Sector) <input type="checkbox"/> O-Others (<input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student) <input type="checkbox"/> B-Business <input type="checkbox"/> X-Not Categorised		
6. Specify the proof of address submitted for Permanent Address:			

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place		Signature of Applicant 
Date	DD / MM / YYYY	

FOR OFFICE USE ONLY

Details of Employee/AP	Tradebulls Securities (P) Limited		Tradebulls Securities (P) Limited Seal/Stamp of the Intermediary
Name & E Code	Documents verified with Originals & In-Person Verification done by		
Designation			
Date / Place	DD / MM / YYYY	PLACE	
Signature			

ADDITIONAL KYC DETAILS FOR DEMAT & TRADING ACCOUNT

TYPE OF ACCOUNT : Individual **Sub Status** Resident Other _____

A. BANK ACCOUNT DETAILS

	Bank 1 Details	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	Bank 2 Details	<input type="checkbox"/> Savings	<input type="checkbox"/> Current
Bank & Branch						
Account No.						
MICR		IFSC		MICR		IFSC





B. DEPOSITORY ACCOUNT DETAILS (DP1 DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)

	DP 1 Details	DP 2 Details
Depository	<input checked="" type="checkbox"/> CDSL	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL
DP Name	Tradebulls Securities (P) Limited	
Client Name		
DP ID	12070200	
BO ID		

C. COMTRACK ACCOUNT DETAILS

CP Name			
Client Name			
CP ID		CMSE ID	

D. TRADING PREFERENCES *Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

Exchanges	Segment	Client Signature
NSE / BSE	Cash / Mutual Fund	Signature of Applicant  Signature of 1st Holder
NSE / BSE	Future & Options	Signature of Applicant  Signature of 1st Holder
NSE / BSE	Currency Derivative	Signature of Applicant  Signature of 1st Holder
MCX / NCDEX	Future & Options Date: DD / MM / YYYY	Signature of Applicant  Signature of 1st Holder

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

E. PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its Partners / promoters / whole time directors / authorized persons in charge of dealing in securities during the last 3 years:

No If yes, please specify

F. INTRODUCER DETAILS

Status of Introducer AP Employee Existing Client Others (Specify):

Introducer Name: _____ **AP/Emp/Client Code:** _____

Address of AP: _____

Mobile No: _____

Signature of the Introducer



G. DEALING THROUGH AUTHORISED PERSON (AP) / OTHER STOCK BROKERS

If Yes, please specify:		Broker Member ID	
Name of Broker/AP		SEBI Registration No	
Name of Exchange		Trading Code	
Details of disputes/dues pending from/to such stock broker:			
Whether you are a Member / AP of any Exchange <input type="checkbox"/> Yes <input type="checkbox"/> No			

H. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE

Contract Note / Holding & Transaction Statement including CAS / Other Documents	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic
To receive / accept each and every credit and pledge instruction in my account	<input type="checkbox"/> Yes <input type="checkbox"/> No
To share email id with Registrar & Transfer Agent (RTA)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Dividend / Interest to receive in bank account stated in KYC via ECS	<input type="checkbox"/> Yes <input type="checkbox"/> No
To avail facility of Internet Trading & Wireless Technology	<input type="checkbox"/> Yes <input type="checkbox"/> No
To receive Annual Report in Electronic mode	<input type="checkbox"/> Yes <input type="checkbox"/> No
To receive Delivery Instruction Slip	<input type="checkbox"/> Yes <input type="checkbox"/> No
Margin Trading Facility (Refer Rights & Obligation document)	<input type="checkbox"/> Yes <input type="checkbox"/> No
DP Account Statement	<input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Weekly <input type="checkbox"/> As per SEBI Regulation
Declaration for Email	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent
Declaration for Mobile Number	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent

Note: To register for Easi please visit website www.cdslindia.com, where BO can view ISIN balances, transactions & portfolio online.

I. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002 & OTHER DETAILS

Experience	Number of years of Investment / Trading Experience <input type="checkbox"/> <input type="checkbox"/>		
Gross Annual Income	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs		
	OR Net Worth in ₹ (*Net worth should not be older than 1 year) as on date DD / MM / YYYY		
Constituent Profile	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Trading <input type="checkbox"/> Consultancy <input type="checkbox"/> Others_____		
Client Category	<input type="checkbox"/> FPOs / Farmer <input type="checkbox"/> Value Chain Participant <input type="checkbox"/> Domestic Financial Institutional Investor <input type="checkbox"/> Foreign Participant <input type="checkbox"/> Others		
Guardian Details	Name:	PAN	
	CKYC Reference No.:	Relation	
Is the Client Politically Exposed Person (PEP) or Related to a PEP <input type="checkbox"/> Yes <input type="checkbox"/> No			

J. GST REGISTRATION DETAILS


Registration No.	Registration Date	State Name
GSTIN No:	DD / MM / YYYY	


DECLARATION

I / We declare that the particulars furnished above are true & correct to the best of my / our knowledge & belief. I / We agree & undertake to intimate the DP / Stock broker any change(s) in the details therein immediately. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination & suitable action.

I / We confirm having received, read/been explained and understood the content of the 'Rights and Obligations Document' for trading and DP Services, 'Risk Disclosure Document', 'Guidance Note' and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I/We also confirm having received, read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet. I / We have also the informed that the standard set of documents has been displayed for information on stock broker's designated website i.e. www.tradebulls.in

 8/13
Signature of 1st Holder

 2/17
Signature of 2nd Holder

 2/17
Signature of 3rd Holder

Place:

Date: DD / MM / YYYY

NOMINEE DETAILS FOR TRADING AND DEMAT ACCOUNT



I/We do not wish to nominate
 I/We wish to nominate
 Single Nominee
 Multiple Nominee

Name			
Address	Same as 1st Holder <input type="checkbox"/> Else fill below details		
City		Pin Code	
PAN/Aadhar		Tel	
Relationship with Nominee (if any):	Date of birth	DD / MM / YYYY	

GUARDIAN DETAILS (IF NOMINEE IS A MINOR)

Name			
Address			
Tel		Guardian Signature:	<input checked="" type="checkbox"/>



This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

 <small>Signature of 1st Holder</small>	 <small>Signature of 2nd Holder</small>	 <small>Signature of 3rd Holder</small>
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Place:

Date: DD / MM / YYYY

WITNESSES (ONLY APPLICABLE IN CASE THE ACCOUNT HOLDER HAS MADE NOMINATION)

Name	Name
Address	Address
 <small>Signature of 1st Witness</small>	 <small>Signature of 2nd Witness</small>

Note: 1. Where the holder is minor, person law fully entitled to act on behalf of the minor should sign the nomination.
 2. Birth Certificate is required incase of minor.

Disclosure: Tradebulls Securities (P) Limited discloses herewith that it is undertaking Proprietary trading in addition to trades done for its clients

OFFICE USE ONLY

Nomination form accepted and registered vide Registration No.:

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Details of Employee/AP	Tradebulls Securities (P) Limited Documents verified with Originals & In-Person Verification done by	Tradebulls Securities (P) Limited Authorised Signatory (Attest with seal)
Name & E Code		
Designation		
Date / Place	DD / MM / YYYY PLACE	
Signature		

BROKERAGE DETAILS

Margin Collected:

BROKERAGE PLAN

Equity Segment	Equity / Futures / Currency		Equity Delivery		Options				Commodity Segment	Futures		Options
	%	Min (Paisa)	%	Min (Paisa)	Index (₹)	Stock (₹)	Bank Nifty (₹)	Currency (₹)		%	Min (Paisa)	₹
1st Leg									1st Leg			
2nd Leg									2nd Leg			

CHARGES FOR DEPOSITORY SERVICES

Sr.	Services	Schemes (Please Select)			
		Lifetime AMC		Investor	BSDA
		<input type="checkbox"/> 1250	<input type="checkbox"/> 550	<input type="checkbox"/> 300	
1.	Deliveries / Debit transaction (per ISIN) Within Tradebulls Outside Tradebulls	Rs. 11/- Rs. 21/-	Rs. 25/- Rs. 35/-	Rs. 11/- Rs. 21/-	Rs. 50/- Rs. 70/-
2.	Charges for Pledge creation/closure/invocation and Re-Pledge (per ISIN)	Rs. 25/-	Rs. 50/-	Rs. 25/-	Rs. 100/-
3.	Dematerialization (per Certificate) Postal Charges	Rs. 10/- Rs. 30/-	Rs. 20/- Rs. 60/-	Rs. 10/- Rs. 30/-	Rs. 40/- Rs. 120/-
4.	Rematerialization (per Certificate) Postal Charges	Actual CDSL charges			
		Rs. 30/-	Rs. 60/-	Rs. 30/-	Rs. 120/-
5.	Demat Rejections (per Certificate)	Rs. 30/-			Rs. 60/-

Note:

- Inter-Settlement charge towards debit transactions of client shares from CUSA, MTF account, client collateral account and collateral account Rs. 11/- + taxes per ISIN would be debited in the client ledger.
- In case of every corporate account, CDSL AMC of Rs. 700/- & transaction charges of investor scheme will be applicable.
- CDSL levied Rematerialization charges as higher of (i) A fee of Rs. 10/- for every 100 securities or part thereof: **OR** (ii) A flat fee of Rs. 10/- per certificate.
- STT, SEBI turnover fees, stamp duty, transaction charges, GST, transaction charges & other statutory levies/CESS (if any) shall be levied as per prevailing rates.
- Rs. 25/- per request / booklet will be charged for additional DIS requisition, account statement, holding with valuation, account modification, freeze & unfreeze etc.
- Charges levied on account of cheque return, wrong reporting of cheques, DP scheme charges, duplicate statements etc. may be recovered from your account.
- Clearing charges will be charged as per below mentioned rates (charges per crore):

Segment	Futures (On Turnover)	Options (On Premium)
Equity / Currency Derivatives	35	2500
Commodity Derivatives	35	5000

- Tradebulls Securities (P) Limited reserves the right to change the charges for depository services from time to time at its sole discretion, under 30 days prior intimation to clients either by way of ordinary post or by an email and by notification on the back office interface.
- Minimum 70% turnover should be through online trading platform for online brokerage slab applicability.
- Brokerage levied to your trading account shall be the higher of brokerage value as per existing rates or Rs. 30/- per settlement/segment subject to maximum rate prescribed by regulator time to time.
- The scheme opted as per above table, will continue till we receive any change request from client.
- Any charges with regards to NERL/Comtrack etc. shall be levied separately.
- Commission of Clearing & Forwarding Agent / Commission agents, if appointed on behalf of clients for the purpose of taking of deliveries will be charged at actual.

 10/13
Signature of 1st Holder

 4/7
Signature of 2nd Holder

 4/7
Signature of 3rd Holder

Name: 1st Holder

Name: 2nd Holder

Name: 3rd Holder

POWER OF ATTORNEY - POA (VOLUNTARY)

DULY STAMPED


TO ALL TO WHOM THESE PRESENTS SHALL COME I/we _____, (name of the BO), residing at/having its office at _____ (full address), Indian inhabitant / Non-resident SEND GREETINGS.


Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited, with Tradebulls Securities (P) Limited (a Depository Participant registered with CDSL) bearing DP-ID 12070200.

And Whereas I/we are registered as a client with Tradebulls Securities (P) Limited (trading member of Bombay Stock Exchange Ltd., National Stock Exchange of India Ltd., and Metropolitan Stock Exchange of India Ltd. for dealing in the securities market.

NOW KNOW WE ALL AND THESE PRESENTS WITNESS THAT I/WE THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT M/s Tradebulls Securities (P) Limited., as my/our true and lawful attorney (hereinafter referred to as the Attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To debit my/our aforesaid beneficiary account and to transfer securities there-out for the purpose of delivering the same to the clearing corporation/clearing member of the recognized stock exchange in any segment to discharge my/our settlement obligations in respect of securities sold by me/us.
2. To pledge/re-pledge/unpledge the securities in my aforesaid beneficiary account with the clearing corporation/clearing member of the recognized stock exchange in any segment for the purpose of providing margins in respect of the transactions/positions taken up by me/us.
3. A list of the Demat accounts of my/our Attorney where securities can be transferred/ pledge/unpledge in exercise of the power conferred herein is specified in Schedule-I to this POA. My attorney may amend the Schedule by adding / deleting demat account(s) to / from the list with prior intimation to me / us in writing, of the particulars of the demat account(s) so added or deleted.
4. To apply for and subscribe to, on my/our instructions, to various products like Mutual Funds, Initial Public Offerings, Public Issues (shares as well as debentures), tendering shares in open offers, rights etc., including redemption where applicable, through online/offline platform and to perform, do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
5. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs, Mutual Funds and other products by way of short messaging services or e-mails whenever such transactions are carried out on my/our behalf.
6. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
7. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise of any power herein.
8. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power of Attorney shall continue in full force and effect until my/our Attorney shall receive written notice of revocation thereof, signed by me/us; or, in the event of termination thereof by my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above provided. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transaction, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Attorney. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my /our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.

 Signature of 1st Holder

 Signature of 2nd Holder

 Signature of 3rd Holder

Signature of Witness:  Signature of 1st Witness

Name: _____

Address: _____

I / We accept (For Tradebulls Securities (P) Limited)

Authorised Signatory: _____

Date: _____

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

Depository Participant Name	Demat Account	Exchange	Name / Type
Tradebulls Securities (P) Ltd	1207020000011753	BSE	Pool A/C
Tradebulls Securities (P) Ltd	1207020001781038	All	Client Collateral A/C
Tradebulls Securities (P) Ltd	1207020000016274	NSE	Pool A/C
Tradebulls Securities (P) Ltd	1207020002098199	All	TM/CM CMPA
Tradebulls Securities (P) Ltd	1207020002099247	All	Client Securities Margin Funding a/c (Margin)
Tradebulls Securities (P) Ltd	1207020002098205	All	Client Securities Margin Funding a/c (Bene)
IL&FS Securities Services Ltd	IN30009511657647	MSEI	Pool A/C
IL&FS Securities Services Ltd	IN30009511472940	NSE	Pool A/C
IL&FS Securities Services Ltd	IN30009511474269	BSE	Pool A/C



Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder

IN CASE OF HUF, CO-PARCENERS SIGNATURE IS REQUIRED

Sr.	Name of Co-Parceners	Date of Birth DD/MM/YYYY	Gender	Relationship	Signature
1.					
2.					
3.					
4.					

CLIENT DECLARATIONS AND AUTHORISATION

- **Client Declaration - Open Interest Position:** I/We, the undersigned, have taken cognizance of circulars issued by Regulator / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same. I / We hereby declare and undertake that I / we will not exceed the position limits prescribed from time to time by Commodity Exchanges or Regulator and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time. I/We undertake to inform you and keep you informed if I / any of our partners / directors / karta / trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on Exchanges through or through any other member (s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time. I / We confirm that you have agreed to enter order in commodity forward contracts / commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking. I/We further undertake to bear any liable / penalty / charges levied by Commodity Exchanges / Regulator.
- **One Time Declaration About High Value Transactions:** I / We do hereby declare that being your client, I/We propose to undertake high value transactions (say Rs. 5 lakh and above or such other sum as may be prescribed by Regulator / MCX / NCDEX or any other authority from time to time) in a day on MCX / NCDEX through you as my/our commodity broker. By this one time declaration, please note that the said transaction(s) would be for and on my/our behalf and entirely for my./our profit or loss only. I/We also declare that the transactions would not be carried out for any unauthorized / unregistered authorized person or for and on behalf of any other person / entity.
- **Running Account Authorisation:** I / We request you to maintain my / our accounts on running accounts basis instead of 'bill to bill' settlement basis, unless I / we specifically request you for a payout of available free balance in the account. You may settle the accounts at **Monthly / Quarterly** (strike off whichever is not applicable, else the option will be considered as Quarterly) or at such other intervals as SEBI / Stock Exchanges may specify from time to time. I / We further authorize you to retain such amount as may be permitted by Stock Exchanges / SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I / We further authorize you to also retain an amount of up to Rs. 10,000/- (Ten Thousand Only) or any other sum as may be permitted by SEBI / Stock Exchanges from time to time, while settling my / our account. I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. I / We agree that Tradebulls shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such funds. The standing instruction / authorization for maintaining my / our account as running account shall remain valid until revoked in writing, addressed to you.
- **Letter Of Authority:** I hereby authorise my _____ Mr./Ms. _____ to trade on my behalf of me in my trading account code _____, I will be fully responsible for all trades done by authorised person in my trading account code.
- **Declaration pursuant to sebi circular SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 dated 04th January, 2019**

LIST OF COMMODITIES (MCX & NCDEX)

COMMODITIES	CODE	COMMODITIES	CODE	COMMODITIES	CODE	COMMODITIES	CODE
Aluminum		Brass		Copper		Lead	
Nickel		Zinc		Barley		Cardamom	
Castor		Chana		Cocudakl		Cotton	
Dhaniya		Guargum		Guarseed		Jeeraunjha	
Kapas		Maize		Moong		Pady	
Pepper		RM Seed		Sugar		Soyabean	
Turmeric		Wheat		Gold		Silver	
CPO		Crude Oil		Mentha Oil		Syoref	
Natural Gas		All Commodities					

CATEGORY CODE REFERENCE TABLE AS PER SEBI

Category	FPOs / Farmers	Value Chain Participants	Proprietary Traders (Only for registered Stock / Commodity) brokers	Domestic Financial Institutional Investors	Foreign Participants	Others
Category Code	1	2	3	4	5	6

I/We declare that the details furnished above are true and correct to the best of my knowledge and belief and I/We undertake to inform you of any changes there in immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I/We am/are aware that I/We may be held liable for it.



Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder



Tradebulls Securities (P) Limited

Corporate Office Address: Kanakia Wall Street, A-Wing, Ground Floor, Andheri Kurla Road, Andheri (E), Mumbai - 400093.

Tel.: 91 22 4888 2888. Website: www.tradebulls.in

Central Support Office Address: Tradebulls House, Sindhubhavan Road, Bodakdev, Ahmedabad - 380 054. Tel: 91 79 3333 3333.

Fax: 91 79 3333 3322. Website: www.tradebulls.in

Register Office: 304, Sai Nivas-C, Kathiria, Daman & Diu - 396 210.

Member: Bombay Stock Exchange Ltd. / National Stock Exchange of India Ltd. / Metropolitan Stock Exchange of India Ltd. / Multi Commodity Exchange of India Ltd. / National Commodity & Derivatives Exchange Limited / CDSL - Depository Participant

SEBI Registration No: INZ000171838 | **Date:** 27/03/2018

TM Code: BSE - 3286 | NSE - 13499 | MSEI - 77100 | MCX - 21840 | NCDEX - 376

CDSL-Depository Participant - SEBI Registration No: IN-DP-206-2016 | **Date:** 09/02/2016 | **CDSL DP ID:** 12070200

Clearing Member Name & Address:

NSE FO | BSE FO | MSEI FO | NSE CDS | BSE CDS | MSEI CDS:

Tradebulls Securities (P) Limited: Tradebulls House, Sindhubhavan Road, Bodakdev, Ahmedabad - 380 054.

CM ID: M51680 | **SEBI Registration No:** INZ000171838 | **Date:** 27/03/2018

MCX | NCDEX:

Orbis Financial Corporation Limited: 4A Ocus Technopolis, Golf Club Road Sector 54, Gurgaon - 122 002.

CM ID: MCX - 9350 | NCDEX - C51067 | **SEBI Reg. No:** INZ000165534 | **Date:** 26/02/2018

Compliance Officer Name: Ms. Shital Lunia. Tel.: 91 79 3333 3333. Email ID: compliance@tradebulls.in

CEO Name: Mr. Dinesh Thakkar. Tel.: 91 79 3333 3333. Email ID: ceo@tradebulls.in

For any grievance / dispute please contact Tradebulls Securities (P) Limited at the above address or email id: wecare@tradebulls.in and Phone no.: 91 79 3333 3333. In case not satisfied with the response, please contact the concerned exchange(s):

BSE Email: jjgar.gadesha@bseindia.com & Tel.: 91 79 2646 2992 | NSE Email: nsciscahm@nse.co.in & Tel.: 91 79 4900 8610/11

MSEI Email: investorcomplaints@msei.in & Tel.: 91 22 6112 9000 | CDSL Email: helpdesk@cdslindia.com & Tel.: 91 22 2272 8663

MCX Mail: compliance@mcxindia.com & Tel.: +91 22 6731 8888 | NCDEX Mail: askus@ncdex.com & Tel.: +91 22 6640 6521